



Good Governance, Better Results

GOVERNANCE THEMATIC GROUP



ADB-ADBI Forum on Governance and Institutions

A joint program of the ADB Governance Thematic Group and ADB Institute

2017: “Governance and Service Delivery: Practical Lessons for Subnational Governments”

9-11 August 2017
Seoul, Korea

FINAL

Organized in partnership with:



*Korea Local Information Research & Development Institute, Seoul
Korea Development Institute, Sejong and United Nations Project Office on Governance, Seoul*

A. Introduction

1. Good governance and effective public management are essential for achieving country and sector-level development results. As stated in the Asia 2050 report of the Asian Development Bank (ADB), the message is clear – governance improvements across a range of dimensions, and across the Asia-Pacific region, will be key in determining whether a developing member country (DMC) is able to accelerate development and inclusive growth. Good governance is now generally accepted as an instrumental variable in how countries can reduce poverty through strong local institutions.¹
2. The Governance Thematic Group (GovTG) at ADB, and the ADB Institute (ADBI) in Tokyo, have teamed up to offer a Forum on Governance and Institutions to provide a platform for senior officials of ADB's DMCs – as well as of the ADB itself – to discuss issues of note in governance and public sector management (PSM). The inaugural session of the annual Forum was held in November 2016 at the ADB Institute in Tokyo, and focused on the primary theme of “Governance Issues in Domestic Resource Mobilization”.
3. The annual Forum focuses on specific topics that are germane to the issue of governance and institutions in the Asia Pacific region. It seeks to provide an appropriate forum for government officials from countries in the region to discuss relevant governance issues and, in the process, guide ADB on where and how it can suitably channel its investments for development operations. The participants of the Forum will be: (a) senior managers and directors of ADB; (b) senior officials of DMC governments that manage the ADB portfolio; (c) noted global experts on the various areas of governance work; and (d) other invited guests and participants from within ADB, the host country of the Forum, and elsewhere.

B. Objectives of the Forum

4. The objectives of the Forum, in general, include:
 - a. **Enhance understanding of global trends** in the various areas of governance and public sector management as well as of global agendas, primarily Sustainable Development Goals (SDGs), COP21 (21st Conference of Parties) for climate action, base erosion and profit shifting, the Addis Agenda on Financing for Development, and others).
 - b. **Share ADB's experiences in its sectors** and sub-sectors of operations (including, eg, state-owned enterprise reforms, domestic resource mobilization and tax administration reforms, subnational governance and local level service delivery, etc.).
 - c. **Hear from DMC governments** what their needs are in public sector management reforms (as well as in governance broadly), and how ADB can better address their needs.
 - d. **Assess how ADB can scale up operations** on public sector management.
5. The **primary objectives of the 2017 Forum**, with its focus on local level service delivery, are as follows: (a) put in context the need for better service delivery as part of the broader agenda of governance, including devolution and public sector management; (b) share information on global developments (such as SDGs) and the focus on inclusive local service delivery (such that no one is left behind); and (c) discuss priority reforms and innovations, as well as measures to strengthen the capacity of local and subnational governments, in improving service delivery.
6. For the 2017 Forum, there is also a unique aspect that merits mention. While the importance of SDGs as a global guideline to promote sustainable development around the world has been universally accepted as a given, the impetus to focus on inclusive local service delivery (and ensure that all segments of the population are benefiting from development and that no group is marginalized or left behind) appears to be less so. This logic is, of course, intuitively appealing, but there is need to do more on this front.
7. The Forum is also expected to yield enhancement of knowledge of Governance and PSM work through leveraging the extensive branding and expertise inherent in relevant partners in Korea to deliver high-quality praxis knowledge² in this sector. The hope is that there will be new ideas to put into practice either in existing development activities or to come up with new programs and projects altogether for joint consideration by governments and ADB.

¹ At the more micro level, good governance also contributes to improved performance during project implementation, which, in turn, has impact on achievement of development results and thus eventually on poverty reduction.

² Praxis knowledge refers to the interaction of practitioner-based knowledge with that of academic (and intellectual)-based one.

C. Forum Methodology

8. The Forum will be predominantly based on interactions among global experts and DMC officials in the broad areas of Governance and PSM. Discussion sessions among ADB and other experts as well as with panelists from the DMCs will ensure that there is cross-fertilization of ideas and cross-learning. The focus of the Forum will be on multiple aspects of the subject matter, with time set aside for interactions among DMC officials attending the event.
9. Field visits to relevant local government unit(s) in Korea (in and around Seoul given practical considerations of time limitations) and how they are involved in providing efficient and effective services to the citizens will enable Forum participants to not only find out how a local government optimizes its resources to deliver services well but also how the central government needs to facilitate the subnational governments to provide such services. This will largely determine how the global agenda of SDGs will be localized at the level where they impact daily lives.
10. The methodology of the Forum focuses on discussions on how ADB is approaching its work on developing its Strategy 2030. This is part of the attempt to take a more holistic view of localizing global agendas as well as helping build demand from the bottom up for governments to respond to the needs of their citizens. The panel sessions in the Forum will be used to elicit practical ideas on how to enhance ADB operations on the ground on local governance and other areas of PSM. Finally, the structure of the Forum will enable substantial learning from peers.

The 2017 Forum program is jointly developed by the Governance Thematic Group at ADB, the ADB Institute (Tokyo), and partner institutions in Korea (Korea Local Information Research & Development Institute, Korea Development Institute, and UN Project Office on Governance)

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A joint program of the Governance Thematic Group of ADB and ADB Institute

2017 Forum: “Governance and Service Delivery: Practical Lessons for Subnational Governments”

9-11 August 2017

Venue: KLID,³ Seoul (Korea)
301 SungAm-ro, Mapo-gu, Seoul,
Republic of Korea

PROGRAM (FINAL)⁴

Pre-Forum Reception: Tuesday, 8 August 2017

Time	Details
18:00 – 19:30	<p>All forum participants are cordially invited to a pre-Forum cocktail reception at Hotel Stanford, Seoul, hosted by ADB. This will be a good opportunity to meet up with fellow participants, make introductions, and commence networking.</p> <p>Venue: Hotel Stanford (<i>Stanford Hall, 2nd Floor</i>)</p> <p>Participants will be coming from the following countries and organizations:</p> <p><u>Countries:</u></p> <p>Bangladesh, Bhutan, Cambodia, People’s Republic of China, Indonesia, Lao PDR, Mongolia, Myanmar, Nepal, Pakistan, Papua New Guinea, Philippines, Sri Lanka, Timor-Leste, and Viet Nam</p> <p><u>Organizations:</u></p> <p>Asian Development Bank (ADB), Manila (and various field offices) ADB Institute, Tokyo Korea Development Institute, Sejong Korea Local Information Research & Development Institute, Seoul Korea Ministry of the Interior and Safety, Seoul Korea Public Finance Information Service, Seoul Seoul Metropolitan Government, Seoul The Asia Foundation, Thailand United Nations Project Office on Governance, Seoul</p>

³ The Korea Local Information Research & Development Institute has kindly agreed to host the event at its office location in Seoul.

⁴ David Dole, *Senior Capacity Building & Training Economist, ADBI*, will be the master of ceremony (MC); and Gambhir Bhatta, *Technical Advisor (Governance) and Chief of the Governance Thematic Group at ADB*, will be the overall moderator/facilitator. For a few specific sessions, there will be individual facilitators; these are detailed in the program agenda.

Day 1: Wednesday, 9 August 2017

Time	Program Details
08:30 – 09:00	Registration
09:00 – 09:30	<p>Session 1. Opening remarks</p> <p>Mr. Bambang Susantono, Vice President (Knowledge Management & Sustainable Development), ADB, Manila (<i>video message</i>) Mr. Chul Ju Kim, Deputy Dean, ADBI, Tokyo Dr. Yeon-gi Son, President, KLID, Seoul Mr. Chae Gun Chung, Head, UN Project Office on Governance, Seoul</p>
09:30 – 09:45	Group Photo, and Tea/Coffee Break
09:45 – 11:15	<p>Session 2. Setting the scene – localizing global agendas <i>Moderated by Mr. Gambhir Bhatta, Technical Advisor (Governance), ADB</i></p> <p>1. Local governance and institutions – The big picture and global trends <i>Prof. Soonhee Kim, KDI School of Public Policy and Management</i></p> <p>2. SDGs – What are the implications for local governments and their inclusive service delivery? <i>Mr. Keping Yao, Governance & Public Administration Expert, UNPOG</i></p> <p>[Inputs on “COP 21 and its implications for local governments” from Ms. Preeti Bhandari, Technical Advisor (Climate Change & Disaster Risk Management), ADB]</p> <p>Discussants: From among participating government officials</p>
11:15 – 12:30	<p>Session 3. The operating environment of local governments – focusing on multi-stakeholder engagement <i>Moderated by Mr. Gambhir Bhatta, Technical Advisor (Governance), ADB</i></p> <p>1. Mutual cooperation and networking among localities: A New Paradigm for local governance <i>Prof. Jeong-Ho Kim, Visiting Professor, KDI School of Public Policy and Management</i></p> <p>2. Relationship with citizens – How to manage competing demands? How to enhance inclusiveness in service delivery? <i>Mr. Mark Koenig, Deputy Director & Urban Governance Specialist, Program Specialists Group, The Asia Foundation, Thailand</i></p> <p>Discussants: From among participating government officials; Mr. Sung Dae An, Director General, Local Administration Policy Bureau, Ministry of the Interior and Safety, Seoul; and Mr. Bart Edes (Advisor & Head, Knowledge Sharing and Services Center, ADB)</p>
12:30 – 13:30	Lunch
13:30 – 15:00	<p>Session 4. The operating environment of local governments – focusing on fiscal policy <i>Moderated by Mr. Chul Ju Kim, Deputy Dean, ADBI</i></p> <p>1. Fiscal risks of local government revenue (PRC) <i>Mr. Wan Guanghua, Principal Economist, EREA, ADB</i></p> <p>2. Fiscal decentralization and local budget deficits in Viet Nam <i>Mr. Long Q. Trinh, Research Consultant, ADBI; & Researcher, Central Institute for Economic Management, Ha Noi, Viet Nam</i></p> <p>3. Budgetary spillover effects in local governments <i>Ms. Soyoun Park, Associate Fellow, Korean Public Finance Information Service, Seoul</i></p> <p>Discussants: From among participating government officials</p>
15:00 – 15:15	Tea/Coffee break

15:15 – 17:00	<p>Session 5. Lessons Learned and Future Endeavors for Augmenting Local Governance and Smart City: Experiences in Korea <i>Moderated by Dr. Sang-Baek Chris Kang, Director, KLID</i></p> <ol style="list-style-type: none"> Influences of e-Government system for crystallizing local governance and innovations: KLID cases for local governments in Korea <i>Dr. Sang-Baek Chris Kang, Director of KLID, Korea</i> E-Government innovation through Big Data – Best practices in local governments <i>Mr. Kibyong Kim, Director of Ministry of the Interior and Safety, Korea</i> On ‘smart cities’ – Learning from Seoul Metropolitan Government <i>Dr. Yoonju Heo, Seoul Metropolitan Government</i> <p>Discussants: From among participating government officials</p>
17:00 – 17:15	Summary of the day, and information sharing about field visits
18:30 – 21:00	Dinner, hosted by KLID (venue: Good Morning Hanoi)

Day 2: Thursday, 10 August 2017

Time	Program Details																												
08:45	<p>Session 6. Field visits (Dress code: smart casual)</p> <p>There will be field trips to two local governments (in Seoul and surrounding area) to see first-hand how local governments provide services in Korea. Seoul, site of the first visit, is the world’s 16th largest city, and it also boasts a well-resourced local government that provides services in an efficient and effective manner; the broader area itself is gaining a reputation as a “smart city”. The second site of the field visit is to the city of Sejong, which has different, and unique, experiences with regard to optimizing resources to deliver services for local residents while at the same time maintaining a particular relationship with central government.</p> <p>At the end of the two site visits, we will head to the Korea Development Institute (KDI) in Sejong itself. KDI is a globally recognized institution of higher learning which is also Korea’s premier think tank. There is a renewed focus on the study of local governance at KDI and participants will be exposed to the work of the Institute. The visit there will culminate in a dinner hosted by the President of KDI.</p> <p>Details of the program for the day are as follows:</p> <table border="1"> <thead> <tr> <th>Time</th> <th>Activity</th> </tr> </thead> <tbody> <tr> <td>08:45</td> <td>Leave the hotel</td> </tr> <tr> <td>09:30 – 10:20</td> <td>Seoul City Hall Tour</td> </tr> <tr> <td>10:30 – 11:50</td> <td>Visit to Seoul Transport Operation and Information Service (TOPIS) (at Seoul City Hall)</td> </tr> <tr> <td>12:00 – 12:50</td> <td>Lunch (at <i>Korea House</i>; traditional fare, <i>Bibimbap</i> – traditional mixed rice with vegetables; contains no meat)</td> </tr> <tr> <td>12:50 – 15:30</td> <td>Move to Sejong</td> </tr> <tr> <td>15:30 – 16:30</td> <td>Visit to Sejong Special Self-governing City (at Sejong City Hall)</td> </tr> <tr> <td>16:30 – 17:45</td> <td>Free time for at nearby shopping mart</td> </tr> <tr> <td>17:45 – 18:00</td> <td>Arrival at KDI – meet at Lobby (2F)</td> </tr> <tr> <td>18:00 – 18:10</td> <td>Site tour (in two groups) (History Hall and Library)</td> </tr> <tr> <td>18:10 – 18:30</td> <td>Move to dinner venue – Conference Hall (6F), and screening of promotion video</td> </tr> <tr> <td>18:30 – 18:40</td> <td>Remarks by: (a) Dr. Joon-Kyung Kim, President, KDI; and (b) Mr. Chul Ju Kim, Deputy Dean, ADBI</td> </tr> <tr> <td></td> <td>Group photo</td> </tr> <tr> <td>18:40 – 19:30</td> <td>Dinner</td> </tr> </tbody> </table>	Time	Activity	08:45	Leave the hotel	09:30 – 10:20	Seoul City Hall Tour	10:30 – 11:50	Visit to Seoul Transport Operation and Information Service (TOPIS) (at Seoul City Hall)	12:00 – 12:50	Lunch (at <i>Korea House</i> ; traditional fare, <i>Bibimbap</i> – traditional mixed rice with vegetables; contains no meat)	12:50 – 15:30	Move to Sejong	15:30 – 16:30	Visit to Sejong Special Self-governing City (at Sejong City Hall)	16:30 – 17:45	Free time for at nearby shopping mart	17:45 – 18:00	Arrival at KDI – meet at Lobby (2F)	18:00 – 18:10	Site tour (in two groups) (History Hall and Library)	18:10 – 18:30	Move to dinner venue – Conference Hall (6F), and screening of promotion video	18:30 – 18:40	Remarks by: (a) Dr. Joon-Kyung Kim, President, KDI; and (b) Mr. Chul Ju Kim, Deputy Dean, ADBI		Group photo	18:40 – 19:30	Dinner
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19:30 – 22:00	Return to hotel																												

Day 3: Friday, 11 August 2017

Time	Program Details
09:30-10:30	<p>Session 7. Attempts at innovations in local governance across DMCs, and scaling them up <i>Moderated by Mr. Gambhir Bhatta, Technical Advisor (Governance), ADB</i></p> <p>Case studies of:</p> <ol style="list-style-type: none"> 1. Cambodia on Local Governance (and subnational investment facility) <i>Speaker: Mr. Duch Mongistana, Officer, Expenditure Management Office, Municipality and District Finance Department, Ministry of Economy and Finance, Cambodia</i> 2. PPPs for Elderly Care Service Delivery at Municipal Level, Yichang, PRC <i>Speaker: Ms. Fiona Connell, Principal Counsel, OGC, ADB</i> 3. PFM enhancements at local government level, Nepal <i>Speaker: Mr. Bruno Carrasco, Director, SAPF, ADB</i>
10:30-10:45	Tea/Coffee break
10:45-12:30	Discussants: From among participating government officials; and Ms. Rachana Shrestha, NRM, ADB
12:30 – 13:30	Lunch
13:30 – 15:15	<p>Session 8. Where can ADB add value to the work of local governments and support PSM reforms? <i>Moderated by Mr. Gambhir Bhatta, Technical Advisor (Governance), ADB</i></p> <p>Moderated discussions; panelists include:</p> <ol style="list-style-type: none"> (1) Mr. Joven Balbosa, Principal Country Specialist, Philippines Country Office, ADB (2) Ms. Emma Veve, Director, PAUS, Pacific Regional Department, ADB (3) Mr. Bruno Carrasco, Director, SAPF, South Asia Department, ADB (4) Two from among government officials participating in the Forum <p align="center">[Inputs on “Issues in ADB’s work in the urban sector and areas of focus” from Mr. Vijay Padmanabhan, Technical Advisor (Urban Sector Group), ADB]</p> <p>Discussant: Mr. Rabin Hattari, ADB (attachment as Lead Adviser for Coordinating Ministry for Economic Affairs, Australia-Indonesia Partnership for Economic Governance)</p>
15:15 – 15:30	Tea/Coffee break
15:30 – 16:00	<p>Session 9. Widening the debate, and preparing for 2018 – Discussions on service delivery by SOEs <i>To extend the discussions to the national stage again, in particular the use of SOEs to deliver services... this prepares the Forum to next year’s focus on this very important topic.</i> <i>Moderated by Mr. Gambhir Bhatta, Technical Advisor (Governance), ADB</i></p>
16:00 – 16:45	<p>Session 10. Concluding discussions, and rapporteur’s report, on Forum experiences and way forward <i>Moderated by Mr. Gambhir Bhatta, Technical Advisor (Governance), ADB</i></p> <p>Forum evaluation and presentation of certificates</p>
16:45 – 17:00	<p>Closing remarks by Mr. Gambhir Bhatta, Technical Advisor (Governance), ADB; Mr. Chul Ju Kim, Deputy Dean, ADBI; and Mr. Sang-Baek Chris Kang, Director, KLID</p> <p>End of Forum</p>
18:00	<i>Transportation will be provided to those interested to go to the city, with a return trip to the hotel at 9 pm.</i>

ADB: Asian Development Bank; ADBI: Asian Development Bank Institute; COP21: 21st Conference of Parties on Climate Change; DMC: developing member country; EREA: Economic Analysis and Operations Support Division; KDI: Korea Development Institute; KLID: Korea Local Information Research & Development Institute; OPSP: Office of Public Private Partnerships; PFM: public financial management; PhCO: Philippine Country Office; PPP: public-private partnership; PRC: People’s Republic of China; PSM: public sector management; SAPF: South Asia Public Management, Financial Sector, and Trade Division; SOE: state-owned enterprise; TBD: to be determined; UNPOG: United Nations Project Office on Governance